PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Chirst class mail in an envelope addressed to Commissioner of Patents and Trademarks,

Washington, D.C. 20231 on April 19, 2001.

Signature

Applicant

Craig L. Ogg, et al.

Application No.

09/688,456

Filed

October 16, 2000

Title

CRYPTOGRAPHIC MODULE FOR SECURE

PROCESSING OF VALUE-BEARING ITEMS

Grp./Div.

2131

Examiner Docket No.

To be assigned

39778/SAH/S850

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APR 2 6 2001

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT AND CERTIFICATION UNDER § 1.97(e)(1)

Assistant Commissioner for Patents Washington, D.C. 20231

Post Office Box 7068 Pasadena, CA 91109-7068 April 19, 2001

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 with a listing of references that are known to applicant. Copies of each of the listed references are enclosed. Also enclosed is a copy of the International Search Report.

It is respectfully requested that these references be considered in the examination of this application and identified on the list of references cited on the patent issuing on this application. Applicant also requests that an initialed copy of said FORM PTO-1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Applicant's undersigned attorney hereby certifies, in accordance with 37 CFR § 1.97(e)(1), that each item of information contained in the information disclosure statement was first cited

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in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,
CHRISTIE, PARKER & HALE, LLP

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Raymond R. Tabandeh Reg. No. 43,945 626/795-9900

RRT/cks

Enclosures: PTO 1449, w/9 references

Copy of International Search Report

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